

# Resurgent ZZ and FZZT branes in minimal strings and JT gravity

Paolo Gregori

Instituto Superior Tecnico - Lisbon

Physical resurgence: On quantum, gauge, and stringy



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Based on:

- [PG, Ricardo Schiappa] arXiv:2108.11409
- [B. Eynard, E. Garcia-Failde, PG, D. Lewański, Ricardo Schiappa] arXiv:2210.xxxxx

- Can we **classify** and systematically **compute non-perturbative data** in 2-d gravitational theories?
- Can we use such data to **reproduce known behaviours** of observables (e.g. **spectral density**, **spectral form factor**)?
- A large class of models (**minimal strings**, **JT gravity**) admits a **matrix model** description: combine **topological recursion** and **resurgence**!

- 2d **dilaton gravity** with action [Jackiw-Teitelboim]

$$S_{\text{JT}} = -\frac{S_0}{4\pi} \underbrace{\int_{\mathcal{M}} \sqrt{g} R}_{\text{topological}} - \frac{1}{2} \underbrace{\int_{\mathcal{M}} \sqrt{g} \phi (R + 2)}_{\text{dilaton action}} + (\text{boundary terms})$$

- Dilaton  $\phi$  acts as **Lagrange multiplier**:  $R = -2 \rightarrow \text{AdS}_2$
- **Different topologies** weighted by  $(e^{S_0})^{2-2g-n} = g_s^{2g+n-2}$
- Holographic dual of **SYK model**  $\rightarrow$  **random ensemble** of quantum mechanical models  $\rightarrow$  **random matrices**

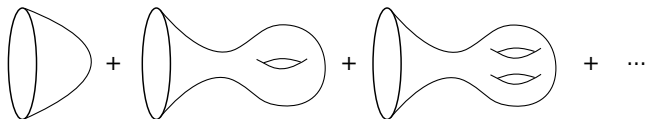
[Sachdev-Ye, Kitaev]  
[Saad-Shenker-Stanford]

# Euclidean Partition Functions

- Relevant quantities for holography: **Euclidean partition functions**

$$\langle Z(\beta_1) \cdots Z(\beta_n) \rangle \simeq \sum_{g=0}^{\infty} g_s^{2g+n-2} Z_{g,n}(\beta_1) \cdots Z(\beta_n)$$

- Surfaces with  $n$  **Schwarzian boundaries** +  $g$  handles



- Weil–Petersson volumes** are the building blocks of EPFs:

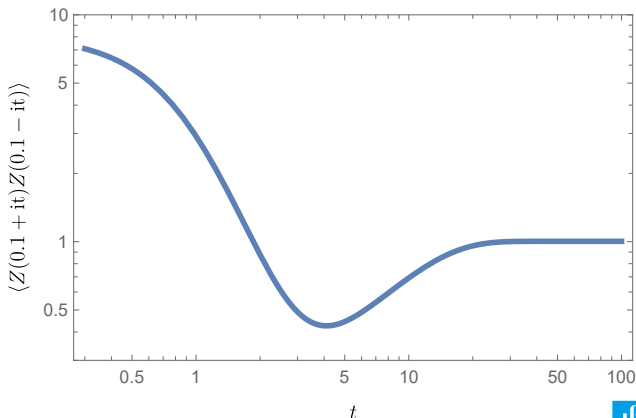
[Saad-Shenker-Stanford]

$$\langle Z(\beta) \rangle \simeq g_s^{-1} Z_{\text{disk}}(\beta) + \sum_{g=1}^{\infty} g_s^{2g-1} \int_0^{\infty} b db V_{g,1}(b) Z_{\text{trumpet}}(\beta, b)$$

- $V_{g,n} \sim (2g)!$  [Mirzakhani-Zograf]  $\rightarrow$  **Resurgence!**

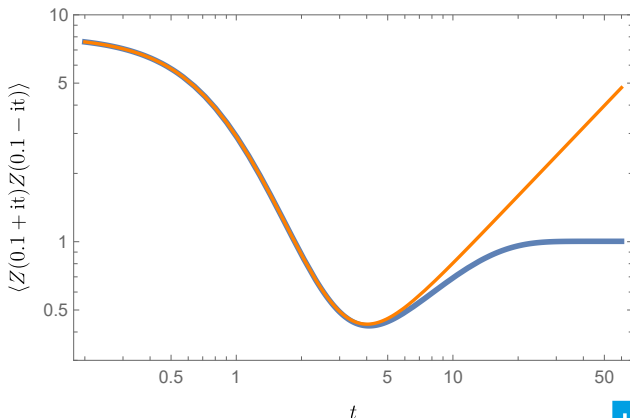
# The Spectral Form Factor

- Two-boundary EPF of particular interest: **spectral form factor**:  
 $\langle Z(\beta + it)Z(\beta - it) \rangle$       **connected + disconnected**
- **Airy** example:



# The Spectral Form Factor

- Two-boundary EPF of particular interest: **spectral form factor**:  
 $\langle Z(\beta + it)Z(\beta - it) \rangle$       **connected + disconnected**
- **Airy** example:



- **Nonperturbative effects** are needed!



# Outline

- 1 Matrix Models
- 2 Non-perturbative effects in matrix models
- 3 Resurgence toolkit
- 4 Borel plane singularities
- 5 Large order checks
- 6 Resummations
- 7 Summary and outlook



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# Review of matrix models

- $N \times N$  Hermitian **one-matrix model** with potential  $V(x)$

$$Z_N = \frac{1}{\text{vol}(\text{U}(N))} \int dM e^{-\frac{1}{g_s} \text{Tr}V(M)}$$

- Associated **spectral curve** (one-cut case):

$$y(x) = M(x) \sqrt{(x-a)(x-b)}$$

related to the holomorphic **effective potential** acting on eigenvalues

$$V'_{\text{h;eff}}(x) = y(x)$$

and to the **spectral density** of eigenvalues

$$\rho_0(\lambda) = \frac{1}{2\pi} \text{Im} y(\lambda)$$

# Correlators and topological recursion

- Matrix model **correlators**:

$$W_n(z_1, \dots, z_n) = 2^n z_1 \cdots z_n \left\langle \text{Tr} \frac{1}{z_1^2 - M} \cdots \text{Tr} \frac{1}{z_n^2 - M} \right\rangle_{(\text{conn})}$$

have a **perturbative expansion**

$$W_n(z_1, \dots, z_n) \simeq \sum_{g=0}^{+\infty} W_{g,n}(z_1, \dots, z_n) g_s^{2g+n-2}$$

- They are computed by **topological recursion** [Eynard-Orantin]:

$$W_{g,n}(z_1, J) = \text{Res}_{z \rightarrow \alpha} \left\{ K_y(z_1, z) \left[ W_{g-1, h+1}(z, -z, J) + \sum_{\substack{m+m'=g \\ I \sqcup I' = J}} W_{m, |I|+1}(z, I) W_{m', |I'|+1}(-z, I') \right] \right\}$$



# The dual matrix model of JT gravity

- From **disk amplitude** of the JT path integral: **spectral density** of dual matrix model:

[Stanford-Witten]  
[Saad-Shenker-Stanford]

$$\rho_0(E) = \frac{1}{4\pi^2} \sinh 2\pi \sqrt{E}$$

- From this, **Mirzakhani spectral curve**:  $\frac{\sin 2\pi \sqrt{x}}{4\pi}$
- Infinite cut  $\rightarrow$  **double scaled** matrix model
- The  $W_{g,n}(z_1, \dots, z_n)$ ,  $V_{g,n}(b_1, \dots, b_n)$ , and  $Z_{g,n}(\beta_1, \dots, \beta_n)$  are **related** by a web of **integral transforms**

[Saad-Shenker-Stanford]  
[Eynard-Orantin]

## $(2, 2k + 1)$ minimal strings

- The JT gravity spectral curve can be seen as the  $k \rightarrow \infty$  limit of a **class of spectral curves**:

$$y_{(2,2k-1)}(x) = T_{2k-1}(\sqrt{x}) \quad (1)$$

- These are the spectral curves of  $(2, 2k - 1)$  **minimal strings**: **Liouville gravity** coupled to a  $(2, 2k - 1)$  **minimal model CFT**
- First examples:

$$y_{(2,1)}(x) = \sqrt{x}$$

Airy curve

$$y_{(2,3)}(x) = T_3(\sqrt{x})$$

Painlevé curve

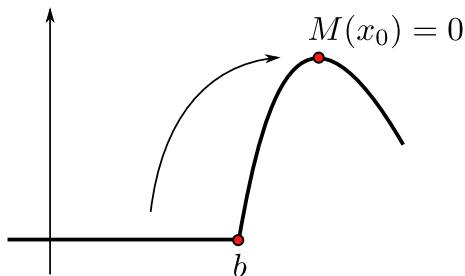
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# ZZ branes in matrix models



- ZZ branes are associated to **eigenvalue tunneling**
- One-instanton contribution of the form [David, Mariño-Schiappa-Weiss]

$$F^{(1)} \simeq g_s^{1/2} S_1 \exp\left(-\frac{A}{g_s}\right) \sum_{n=0}^{\infty} F_{n+1}^{(1)} g_s^n$$

- All **non-perturbative data** captured by **spectral geometry**

# ZZ branes from the spectral geometry

- For example, the **instanton action**:

$$A = V_{h;\text{eff}}(x_0) - V_{h;\text{eff}}(b) = \int_b^{x_0} y(x) dx$$

- In the case of  $(2, 2k - 1)$  **minimal strings**:  $(k - 1)$  **non-trivial saddles**  
[Seiberg-Shih]

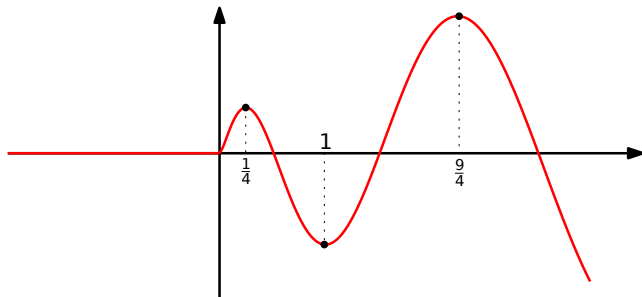
$$A_{(n,k)} = (-1)^{k+n} \left( \frac{1}{2k+1} + \frac{1}{2k-3} \right) \sin \frac{2\pi n}{2k-1}$$

- But, **resonance!** Instanton actions are actually **twice as many** [PG-Schiappa]



# ZZ branes from the spectral geometry

- In the case of **JT gravity**:



- **Infinitely many saddles!**

$$A_\ell = \int_0^{\ell^2/4} y(x) dx = \frac{(-1)^{\ell+1}}{4\pi^2}$$

# ZZ branes from topological recursion

- The remaining data is captured by a **saddle-point integral** passing through the **non-trivial saddle**  $x_0$

$$F^{(1)} = \frac{1}{2\pi} \int_{\Gamma_0} \psi(x) dx$$

where  $\psi(x)$  is constructed via **topological recursion**

[Eynard-GarciaFailde-PG-Lewanski-Schiappa]

$$\psi(z^2) \equiv \exp \left( \sum_{g=0, n=1}^{\infty} \frac{g_s^{2g+n-2}}{n!} \overbrace{\int_{-z}^z \cdots \int_{-z}^z}^n W_{g,n} \right)$$

# ZZ brane data

- For example, one-loop around one-instanton for the  $(2, 2k - 1)$  minimal string: [\[PG-Schiappa\]](#)

$$S_1 \cdot F_1^{(1)} = \frac{1}{4 \sin \frac{n\pi}{2k-1}} \sqrt{\frac{(-1)^{k+n+1}}{2\pi(2k-1)} \cot \frac{n\pi}{2k-1}}.$$

- The saddle point integral can be **computed algorithmically**
- We easily get **many loops** around the one-instanton configuration (JT) [\[Eynard-GarciaFailde-PG-Lewanski-Schiappa\]](#):

$$\begin{aligned} S_1 \cdot F_1^{(1)} &= \frac{i}{\sqrt{2\pi}}, & \tilde{F}_2^{(1)} &= -\frac{68}{3} - \frac{5\pi^2}{6}, \\ \tilde{F}_3^{(1)} &= \frac{12104}{9} + \frac{818\pi^2}{9} + \frac{241\pi^4}{72}, \\ \tilde{F}_4^{(1)} &= -\frac{10171120}{81} - \frac{311672\pi^2}{27} - \frac{175879\pi^4}{270} - \frac{163513\pi^6}{6480} - \frac{29\pi^8}{48}, \\ \tilde{F}_5^{(1)} &= \frac{3859832480}{243} + \frac{442580824\pi^2}{243} + \frac{50891471\pi^4}{405} + \frac{33364187\pi^6}{4860} + \frac{9595009\pi^8}{31104} + \frac{19613\pi^{10}}{1440} \\ &\dots \end{aligned}$$

- Up to **12 loops!**

- The **non-perturbative topological recursion** construction generalizes to correlators via the **loop insertion operator**

$$\Delta_x W_n(x_1, \dots, x_n) = g_s W_{n+1}(x, x_1, \dots, x_n)$$

- Completely **new non-perturbative data** for correlators, which was out of reach with other approaches (e.g. **string equations**)
- For example [\[Eynard-GarciaFailde-PG-Lewanski-Schiappa\]](#):

$$S_1 \cdot \widetilde{W}_{1,n}^{(1)}(z_1, \dots, z_n) = \prod_{i=1}^n \frac{4}{4z_1^2 - 1},$$

- **Universal!**

# FZZT branes in matrix models

- FZZT branes are associated to **determinant insertions** (i.e. orthogonal polynomials):

$$\Psi(x) = e^{-\frac{1}{2g_s}V(x)} \langle \det(x - M) \rangle = \exp\left(-\frac{V_{h,\text{eff}}(x)}{2g_s}\right) \sum_{n=0}^{\infty} \Phi_{n+1}(x) g_s^n$$

- The **instanton action** is the holomorphic effective potential  $\rightarrow$   **$x$ -dependent** instanton action!
- They contribute **only to correlators**: not seen in the free energy
- Also computable via topological recursion [Eynard-Orantin]:

$$\Psi(z^2) = \exp\left(\sum_{g=0, n=1}^{\infty} \frac{g_s^{2g+n-2}}{n!} \overbrace{\int_{\infty}^z \cdots \int_{\infty}^z}^n W_{g,n}\right)$$

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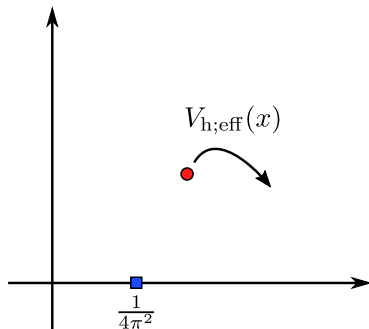
- The generic observable  $\mathcal{O}$  is described by a **transseries**, which will contain both **ZZ** and **FZZT** contributions:

$$\mathcal{O} = \mathcal{O}^{(0)} + \mathcal{O}^{(ZZ)} + \mathcal{O}^{(FZZT)}$$

- Not the full story! Missing **multi-instanton** contributions + **resonance** (which is general in these models)
- Enough to capture expected **non-perturbative effects** and leading **large genus asymptotics**

# Borel plane singularities

- We expect two ZZ brane singularities at  $\pm \frac{1}{4\pi^2}$ , and two FZZT brane singularities at  $\pm V_{h;\text{eff}}(x)$



- The FZZT singularity **moves around** as  $x$  changes!



# Resurgent large order relations

- Instanton sectors attached to **singularities** in the **Borel plane**
- Cauchy's theorem gives us **large order** relation (example for two singularities):

$$\mathcal{O}_g^{(0)} \simeq \frac{S_1 \mathcal{O}_1^{(1)}}{2\pi i} \frac{\Gamma(2g - \beta_1)}{A_1^{2g - \beta_1}} \left( 1 + \frac{A_1}{2g - \beta_1 - 1} \frac{\mathcal{O}_2^{(1)}}{\mathcal{O}_1^{(1)}} + O(g^{-2}) \right) + \\ + \frac{S_2 \mathcal{O}_1^{(2)}}{2\pi i} \frac{\Gamma(2g - \beta_2)}{(A_2)^{2g - \beta_2}} \left( 1 + \frac{A_2}{2g - \beta_2 - 1} \frac{\mathcal{O}_2^{(2)}}{\mathcal{O}_1^{(2)}} + O(g^{-2}) \right) + \dots$$

- Singularity that is **closest to the origin** dominates the asymptotics
- Large  $g$  asymptotics entirely encoded in **non-perturbative data**
  - 1 **Numerical checks** of our computations
  - 2 **Large  $g$  asymptotics** of quantities of interest

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# The one point function: integral representation

Since the **FZZT instanton actions** depend on the correlators variables  $z_i$ , we expect them to **move around** in the complex plane as the  $z_i$  change.

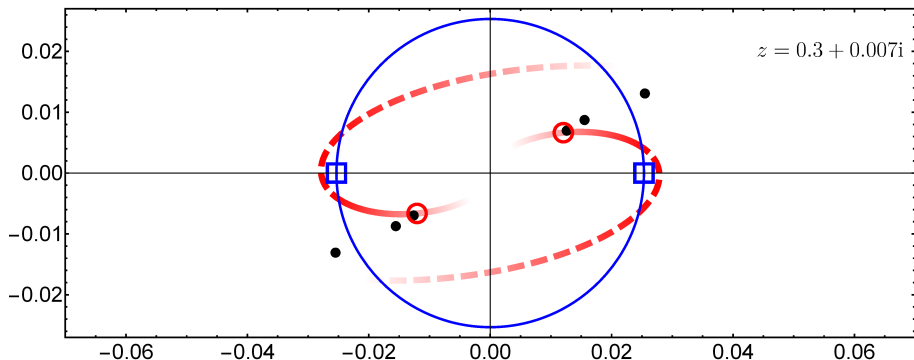
The position of **Borel-plane singularities** can be captured through **approximate Borel transforms**. One way of obtaining them is by making use of the **integral representations** of the correlators. For the one-point function we have:

$$\begin{aligned} W_1^{(1)}(g_s; z) &\simeq -\frac{1}{2i} \int_{\mathcal{I}} dx \frac{1}{x - z^2} \frac{1}{\sqrt{x}} \exp\left(-\frac{V_{\text{eff}}(x)}{g_s}\right) \\ &\simeq -\frac{1}{2i} \int_{\tilde{\mathcal{I}}} ds \frac{1}{V'_{\text{eff}}(x(s))} \frac{1}{x(s) - z^2} \frac{1}{\sqrt{x(s)}} \exp\left(-\frac{s}{g_s}\right) \end{aligned}$$

The integrand is interpreted as an 'approximate Borel transform', featuring both the **ZZ** and **FZZT** Borel-plane singularities.

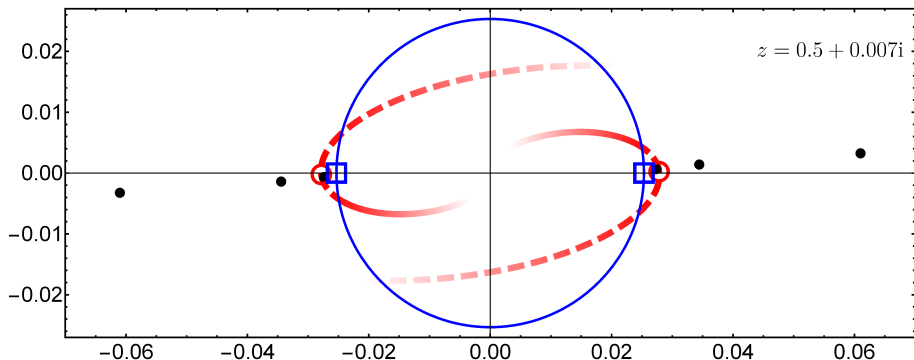
# The one point function: Padé approximants

Otherwise, we can make use of Padé approximants:



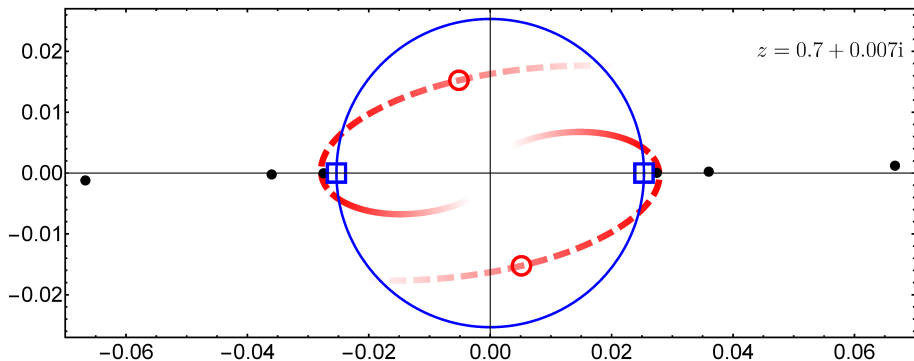
# The one point function: Padé approximants

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# The one point function: Padé approximants

Otherwise, we can make use of Padé approximants:



# The two point function: integral representation

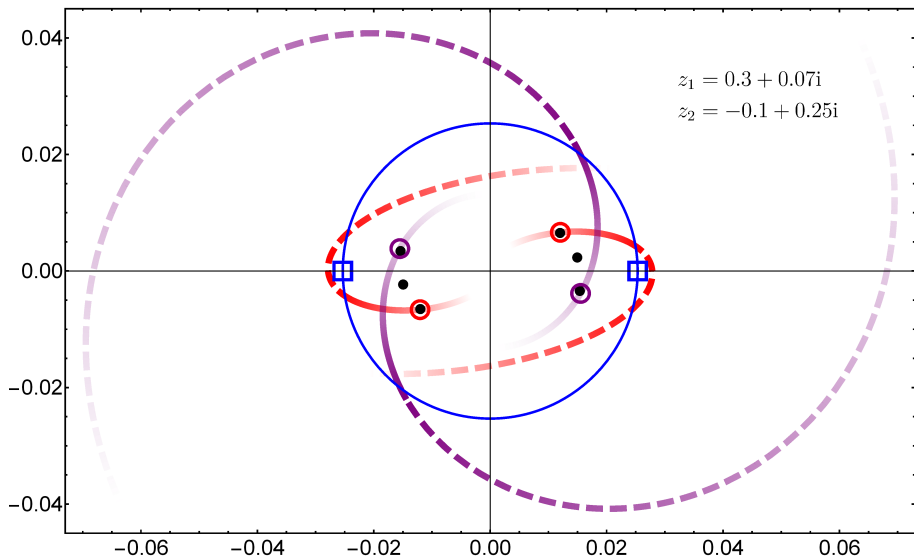
The story is very similar for the two-point function, but here from the integral representation we get **two distinct FZZT** brane singularities:

$$W_2^{(1)}(g_s; z_1, z_2) \simeq \frac{1}{i} \int_{\tilde{\mathcal{I}}} ds \frac{1}{V'_{\text{eff}}(x(s))} \frac{1}{x(s) - z_1^2} \frac{1}{x(s) - z_2^2} \exp\left(-\frac{s}{g_s}\right)$$

depending on the two variables  $z_1$  and  $z_2$ .

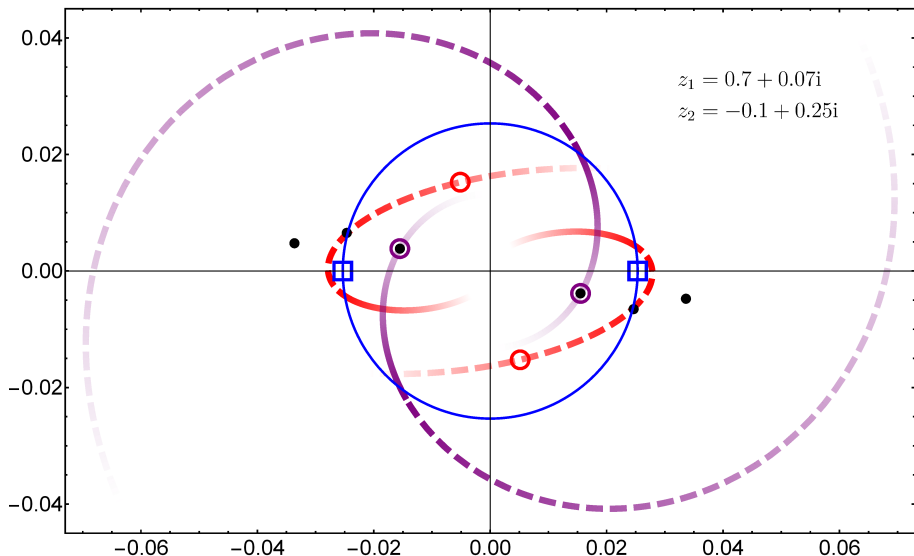
This is corroborated by the Padé approximant analysis.

# The two point function: Padé approximants

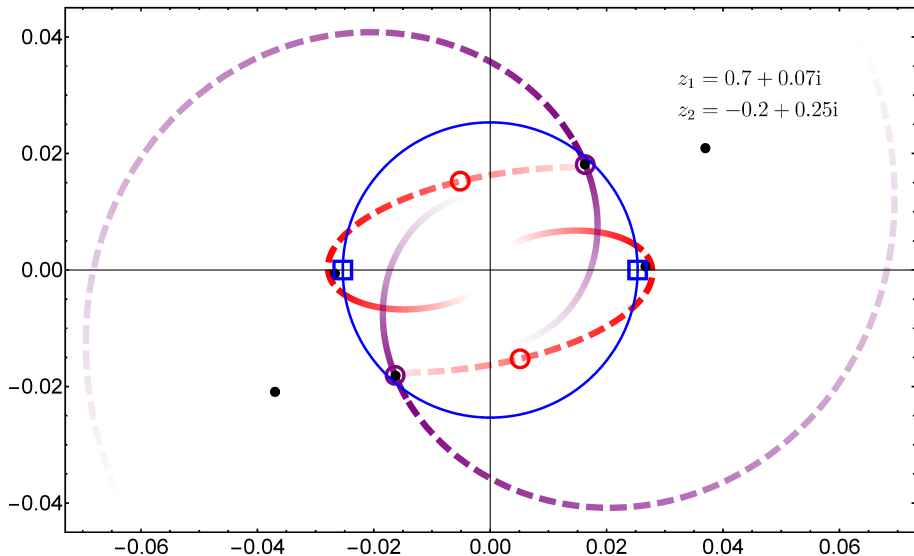




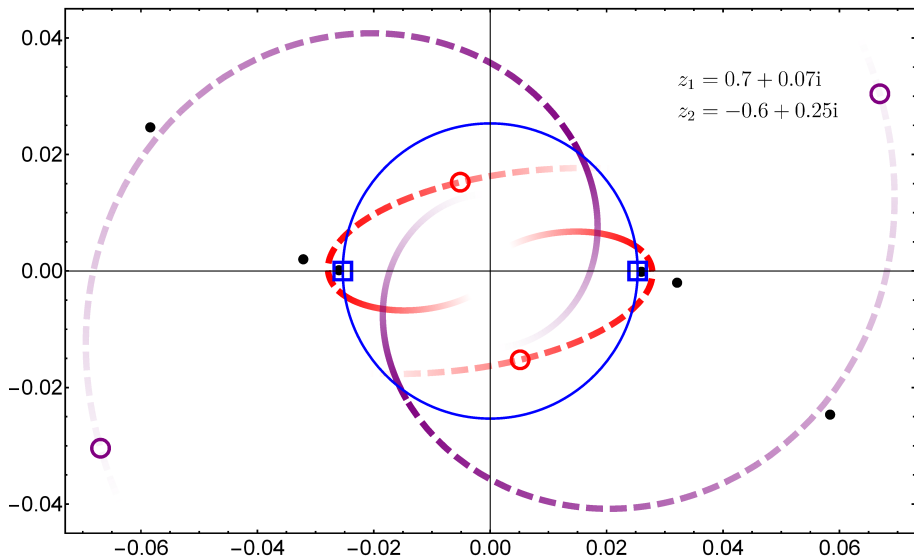
# The two point function: Padé approximants



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# Large order checks: free energy

- Only ZZ brane non-perturbative effects
- We can generate many Weil–Peterson volumes with Zograf's algorithm
- From them, we construct sequences which at  $g \rightarrow \infty$  converge to the non-perturbative coefficient we want to test:

$$\frac{V_{g+1,0}}{4g^2 V_{g,0}} = \frac{1}{A^2} \left( 1 + \frac{1-2\beta}{2g} + O(g^{-2}) \right)$$

$$2g \left( A^2 \frac{V_{g+1,0}}{4g^2 V_{g,0}} - 1 \right) = 1 - 2\beta + O(g^{-1})$$

$$\frac{A^{2g-\beta} V_{g,0}}{\Gamma(2g-\beta)} = \frac{S_1 F_1^{(1)}}{2\pi i} \left( 1 + O(g^{-1}) \right)$$

and so on.

# Free energy: the instanton action

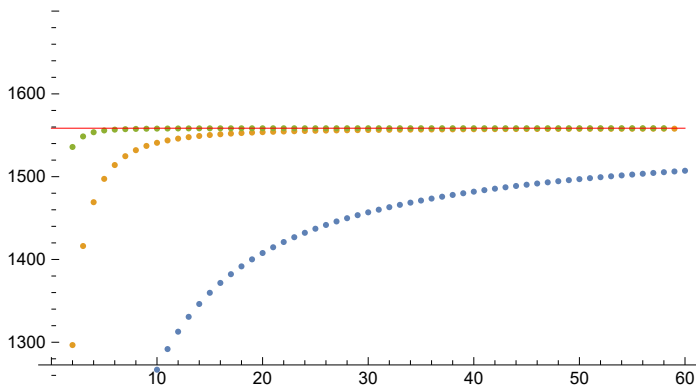


Figure: The sequence  $\frac{V_{g+1,0}}{4g^2 V_{g,0}}$  (blue), its first two Richardson transforms (orange and green), and the predicted value  $1/A^2 = 16\pi^2$  (red).

# Free energy: the characteristic exponent

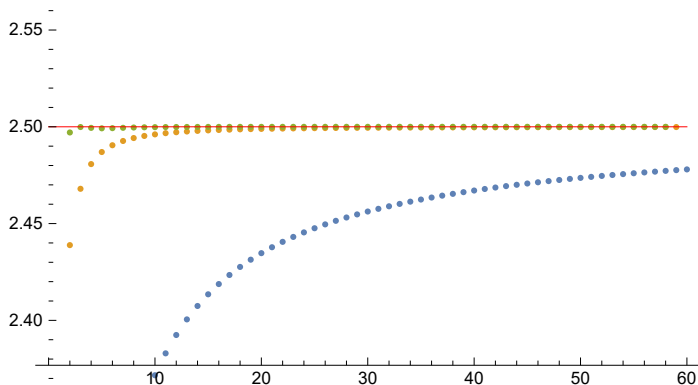


Figure: The sequence  $2g\left(A^2 \frac{V_{g+1,0}}{4g^2 V_{g,0}} - 1\right)$  (blue), its first two Richardson transforms (orange and green), and the predicted value  $\beta = 5/2$  (red).

# Free energy: the one-loop around one-instanton

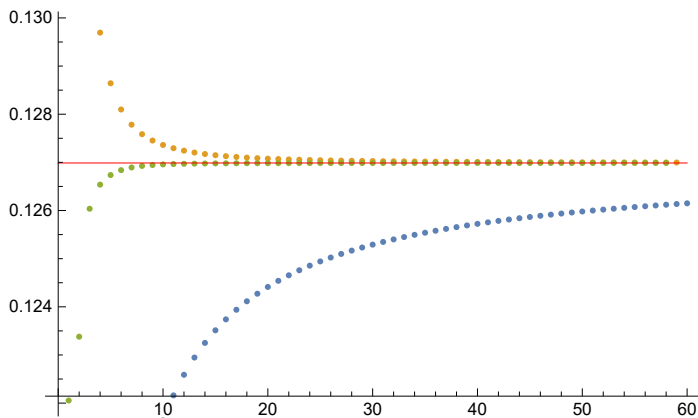


Figure: The sequence  $\frac{A^{2g-\beta} F_g^{(0)}}{\Gamma(2g-\beta)}$  (blue), its first two Richardson transforms (orange and green) and the predicted value  $\frac{S_1 F_1^{(1)}}{2\pi i} = \frac{1}{\sqrt{2}\pi^{3/2}}$  (red).



# The one point function

- Both **FZZT** and **ZZ** brane contributions:

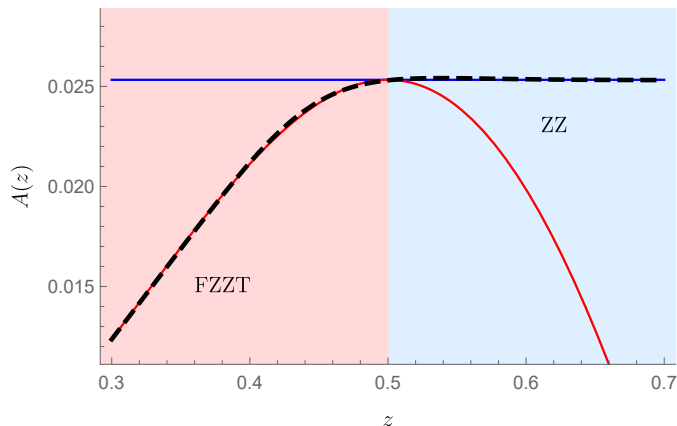
$$W_1(z) = W_1^{(0)}(z) + W_1^{(ZZ)}(z) + W_1^{(FZZT)}(z),$$

- Competing large genus asymptotics

$$W_{g,1}^{(0)}(z) \simeq \frac{1}{\sqrt{2\pi^{\frac{3}{2}}}} (4\pi^2)^{2g-\frac{3}{2}} \Gamma\left(2g - \frac{3}{2}\right) \left[ \frac{4}{z^2 - 4} + \dots \right] + \dots$$
$$+ \frac{1}{\pi} \left( V_{\text{eff}}(z^2) \right)^{-2g+1} \Gamma(2g-1) \left[ \frac{1}{2z} + \dots \right] + \dots$$

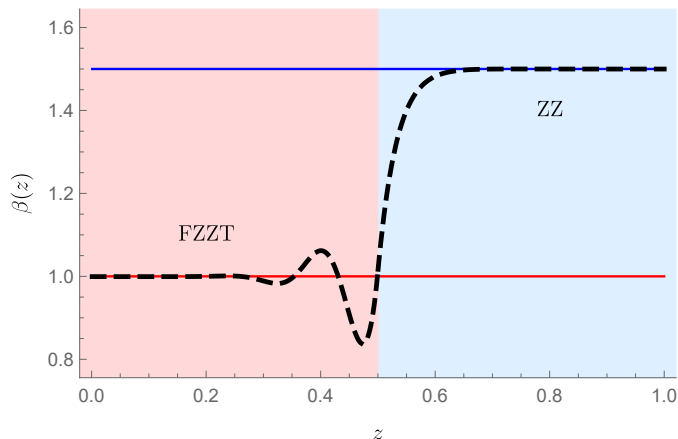
- Perturbative data generated through TR (slow)

# One-point function: the instanton action



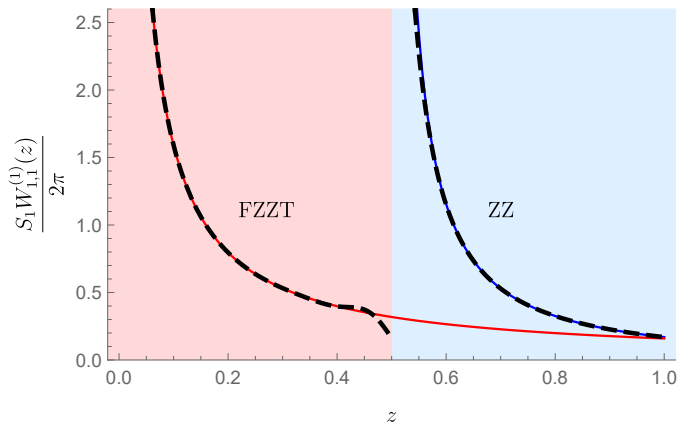
**Figure:** The black dashed line is the fifth Richardson transform of the instanton action sequence of  $W_1(z)$ , as a function of  $z$ . In red and blue, the theoretical values associated to FZZT and ZZ branes.

# One-point function: the characteristic exponent



**Figure:** The black dashed line is the fifth Richardson transform of the characteristic exponent sequence of  $W_1(z)$ , as a function of  $z$ . In red and blue, the theoretical values associated to FZZT and ZZ branes.

# One-point function: the one-loop around one-instanton



**Figure:** The black dashed line is the fifth Richardson transform of the one-loop around one-instanton sequence of  $W_1(z)$ , as a function of  $z$ . In red and blue, the theoretical values associated to FZZT and ZZ branes.

# The two-point function

- Two distinct FZZT brane contributions:

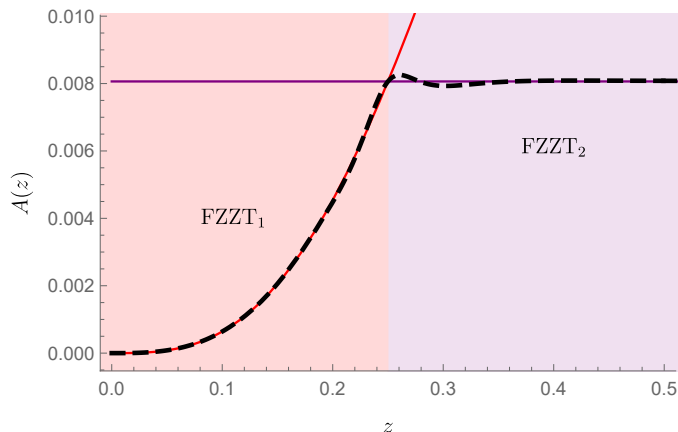
$$W_2(z_1, z_2) = W_2^{(0)}(z_1, z_2) + W_2^{(ZZ)}(z_1, z_2) + W_2^{(FZZT_1)}(z_1, z_2) + W_2^{(FZZT_2)}(z_1, z_2),$$

- Competing large genus asymptotics:

$$\begin{aligned} W_{g,2}^{(0)}(z_1, z_2) &\simeq \frac{1}{\sqrt{2}\pi^{\frac{3}{2}}} (4\pi^2)^{2g-\frac{1}{2}} \Gamma\left(2g - \frac{1}{2}\right) \left[ \frac{4}{z_1^2 - 4} \frac{4}{z_2^2 - 4} + \dots \right] + \dots \\ &+ \frac{1}{\pi} \left( V_{\text{eff}}(z_1^2) \right)^{-2g} \Gamma(2g) \left[ \frac{1}{z_2^2 - z_1^2} + \dots \right] + \dots \\ &+ \frac{1}{\pi} \left( V_{\text{eff}}(z_2^2) \right)^{-2g} \Gamma(2g) \left[ \frac{1}{z_1^2 - z_2^2} + \dots \right] + \dots \end{aligned}$$

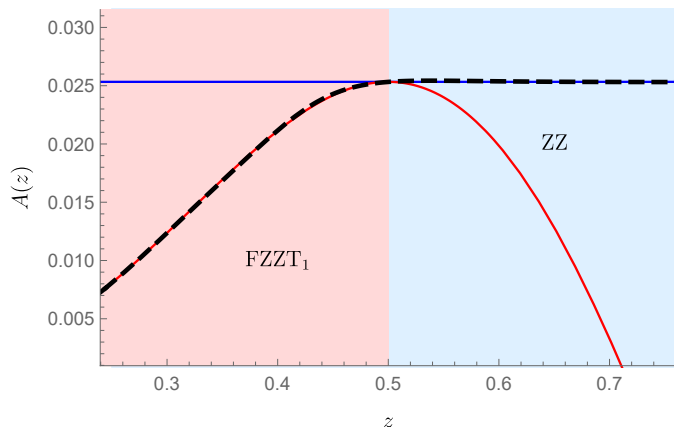
- Perturbative data generated through TR (slow)

# Two-point function: the instanton action



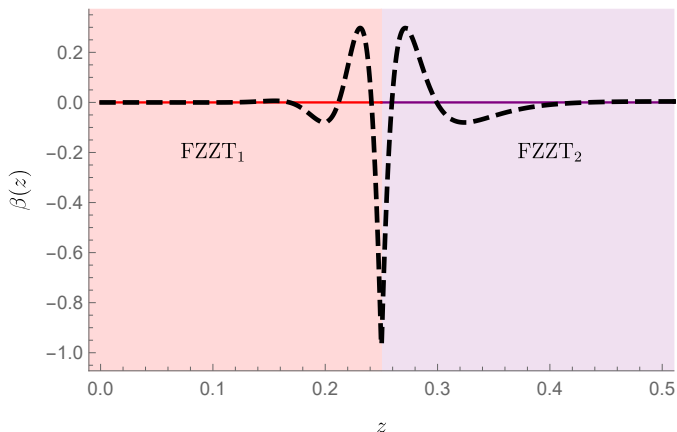
**Figure:** The fourth Richardson transform of the instanton action sequence for  $W_2(z, 0.25)$ , as a function of  $z$ . In red and violet, the theoretical values associated to the  $FZZT_1$  and  $FZZT_2$  instanton actions.

# Two-point function: the instanton action



**Figure:** The fourth Richardson transform of the instanton action sequence for  $W_2(z, 0.75)$ , as a function of  $z$ . In red and blue, the theoretical values associated to the FZZT<sub>1</sub> and ZZ instanton actions.

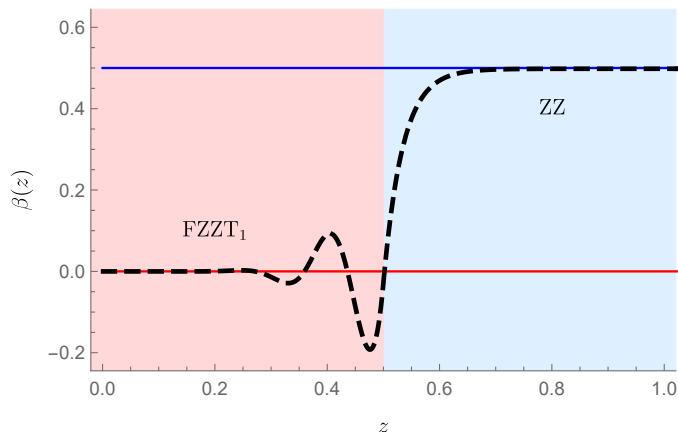
# Two-point function: the characteristic exponent



**Figure:** The fourth Richardson transform of the characteristic exponent sequence for  $W_2(z, 0.25)$ , as a function of  $z$ . In red and violet, the theoretical values associated to the FZZT<sub>1</sub> and FZZT<sub>2</sub> characteristic exponents.

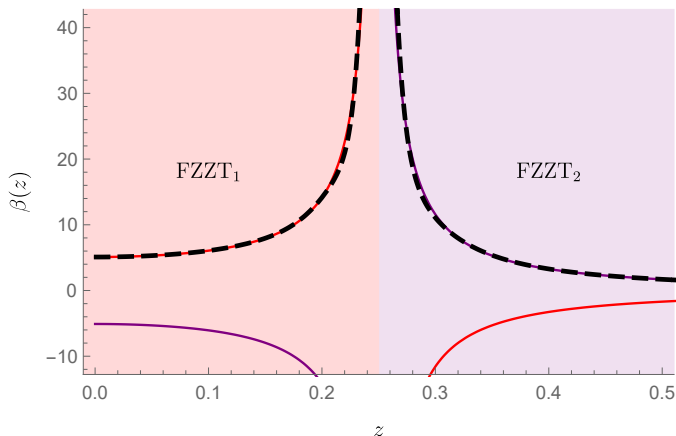


# Two-point function: the characteristic exponent



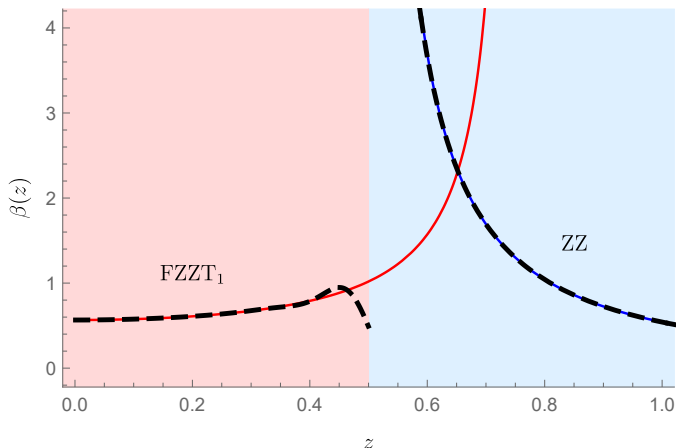
**Figure:** The fourth Richardson transform of the characteristic exponent sequence for  $W_2(z, 0.75)$ , as a function of  $z$ . In red and blue, the theoretical values associated to the  $FZZT_1$  and  $ZZ$  characteristic exponents.

# Two-point function: the one-loop around one-instanton



**Figure:** The fourth Richardson transform of the one-loop around one-instanton sequence for  $W_2(z, 0.25)$ , as a function of  $z$ . In red and violet, the theoretical values associated to the FZZT<sub>1</sub> and FZZT<sub>2</sub> one-loop around one-instanton

# Two-point function: the one-loop around one-instanton



**Figure:** The fourth Richardson transform of the one-loop around one-instanton sequence for  $W_2(z, 0.75)$ , as a function of  $z$ . In red and blue, the theoretical values associated to the FZZT<sub>1</sub> and ZZ one-loop around one-instanton.

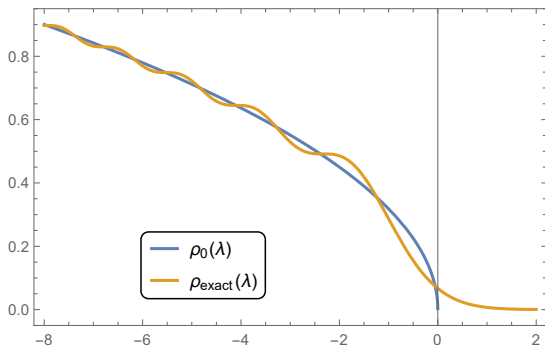
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# The spectral density

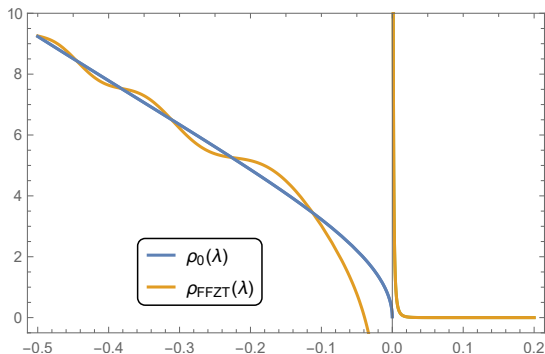
- In the **Airy** toy model ( $y(x) = \sqrt{x} \implies \rho_0(\lambda) = \sqrt{-x}/(2\pi)$ ), we have an **exact formula** for the resummed spectral density:

$$\rho(\lambda) = g_s^{-2/3} \left[ \text{Ai}' \left( \lambda g_s^{-2/3} \right)^2 - \lambda g_s^{-2/3} \text{Ai} \left( \lambda g_s^{-2/3} \right)^2 \right]$$



# The spectral density

- Non-perturbative contributions add **wiggles** in the 'allowed' region, and an **exponentially decaying contribution** in the 'forbidden' region
- The same thing happens when we add **FZZT** contributions to the **JT gravity spectral density**



# The spectral form factor

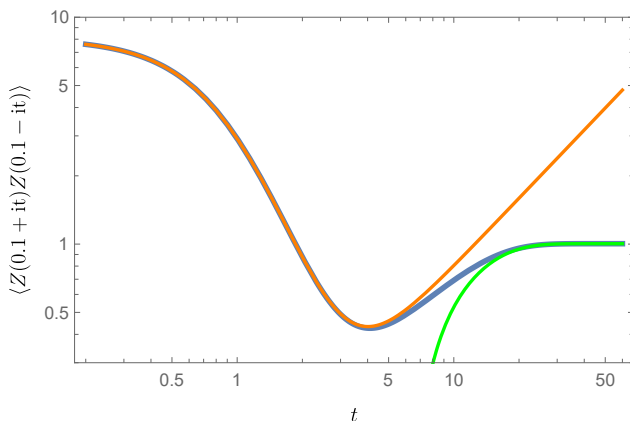
- Recall the definition:

$$\langle Z(\beta + it)Z(\beta - it) \rangle = \int_{-\infty}^{\infty} dx_1 \int_{-\infty}^{\infty} dx_2 e^{(\beta+it)x_1 + (\beta-it)x_2} W_2(x_1, x_2) \quad (2)$$

- As it turns out, the **spectral form factor** is given by a convergent power series because of **miraculous cancellations**[\[Blommaert-Kruthoff-Yao\]](#)
- The inverse Laplace transform turns the **FZZT** contribution to the two-point correlator from non-perturbative to perturbative!

# The spectral form factor

- In the Airy case, we see that the **FZZT** brane contributions (one- and two-instanton) are responsible for the **plateau** regime of the spectral form factor:





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# Summary of the results

## • Summary

- 1 Using **topological recursion**, we developed a new systematic technique for computing **ZZ non-perturbative data** for free energies and correlators in matrix models
- 2 We verified the presence of **competing FZZT** and **ZZ** effects both in terms of Borel plane singularities and **large genus asymptotics**
- 3 We provided a new (and generalizable) way of computing large genus asymptotics of **Weil-Petersson volumes**
- 4 We were able to reproduce the **plateau region** of the spectral form factor of JT gravity using **FZZT** brane contributions

## • Outlook

- 1 What about **multi-instanton** sectors? And **resonance**?
- 2 Can we write the full **resonant transseries** of JT gravity?
- 3 How do our techniques extend to spectral curves without an underlying matrix model?

# Thank you!

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